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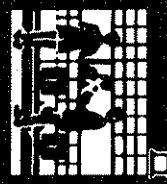


Spear, Leeds & Kellogg

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SEA CARRIERS LIMITED PARTNERSH
IP
75 HOLLY HILL LANE
GREENWICH CT 06830



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SEA CARRIERS LIMITED PARTNERSHIP
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GREENWICH CT 06830

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Spear, Leeds & Kellogg
120 Broadway
New York, NY 10271-0093
(212)433-7000

Asset Summary	Value	12/31/02	Value	01/31/03
Account Credit Balance		0.00		5,500,083.32
Long Stock Value		0.00		0.00
Short Stock Value		0.00		0.00
Long Option Value		0.00		0.00
Short Option Value		0.00		0.00
Corporate Fixed Income		0.00		0.00
U.S. Govt. Securities		0.00		0.00
Municipal Bonds		0.00		0.00
Money Market Funds		0.00		0.00
Other Assets		0.00		0.00
Total Asset Value		0.00		5,500,083.32
Account Debit Balance		0.00		0.00
Total Net Worth/Equity		0.00		5,500,083.32

Income Summary	This Month	Year-To-Date
Money Market Income	0.00	0.00
Dividend Inc/Exp (Net)	0.00	0.00
Municipal Int/Exp (Net)	0.00	0.00
Corporate Int/Exp (Net)	0.00	0.00
U.S. Govt.Int/Exp (Net)	0.00	0.00
Interest/Expense (Net)	83.32	83.32
Other Inc/Exp (Net)	0.00	0.00
Total Income/Expense (Net)	83.32	83.32
Market Indicators	This Month	Begin of Year
		% Chg.
S&P 500 Index	855.70	879.82
D.IIA	8,053.81	8,341.63
NASDAQ	1,320.91	1,335.51
T-Bill	778.63	777.90
	+ 0.09%	
Cash Flow Analysis	This Month	Year-To-Date
Opening Balance	0.00	0.00
Securities Sold	0.00	0.00
Transits/Recvs/Delivs	0.00	0.00
Dividends/Int. Income	83.32	83.32
Other Capital Items	0.00	0.00
Securities Purchased	0.00	0.00
Dividends/Int. Expense	0.00	0.00
Other Capital Items	0.00	0.00
P & L Bookings by Cusip	(18.73)	(18.73)
Contribution/ Withdrawals	5,500,000.00	5,500,000.00
Closing Balance	5,500,064.59	5,500,064.59
Net Cash Activity		5,500,064.59

THIS SUB-ACCOUNT IS BENEFICIALLY OWNED BY SEA CARRIERS LIMITED PARTNERSHIP. WE CARRY MULTIPLE ACCOUNTS OWNED BY SEA CARRIERS LIMITED PARTNERSHIP. THE TOTAL VALUE OF THESE ASSETS CAN ONLY BE DETERMINED BY ADDING TOGETHER THE VALUES OF ALL RELATED ACCOUNTS, INCLUDING ACCOUNTS WITH NEGATIVE VALUE.



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SEA CARRIERS LIMITED PARTNERSH
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GREENWICH
CT 06830

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120 Broadway
New York, NY 10271-0093
(212)433-7000

PENDING TRADES	

Filed 08/20/2007
SYMBOL/CUSIP
DESCRIPTION
TRADE DATE
SETTLEMENT DATE
BUY/SELL
NUMBER OF SHARES
PRICE
TRADE NET

Case 1:07-cv-04658-RWS Document 4-12

Account 729S1209 TRADING ACCOUNT
AIG
AMERICAN INTL GROUP INC
COM
ANALOG DEVICES INC
01/30/03 02/04/03 SEL 100 53.30 \$5,329.82
01/30/03 02/04/03 BOT 100 53.40 \$5,340.00
01/30/03 02/04/03 BOT 100 23.63 \$2,363.00
01/30/03 02/04/03 BOT 100 23.63 \$2,363.00
01/30/03 02/04/03 BOT 100 23.65 \$2,365.00
01/30/03 02/04/03 BOT 100 23.66 \$2,366.00
01/30/03 02/04/03 SEL 100 23.63 \$2,362.91
01/30/03 02/04/03 SEL 100 23.65 \$2,364.91
01/30/03 02/04/03 SEL 100 23.62 \$2,361.91
01/30/03 02/04/03 SEL 100 23.62 \$2,361.91
01/30/03 02/04/03 SEL 100 23.60 \$2,359.91
AOL
AOL TIME WARNER, INC.
01/30/03 02/04/03 SEL 100 11.92 \$1,191.95
01/30/03 02/04/03 SEL 100 11.91 \$1,190.95
01/30/03 02/04/03 BOT 100 11.92 \$1,192.00
01/30/03 02/04/03 BOT 100 11.91 \$1,191.00
Total Buy Money for [REDACTED]
Total Sell Money for [REDACTED]
Total Buy Money for all [REDACTED] accounts
Total Sell Money for all [REDACTED] accounts

\$19,543.00
\$19,524.27
\$19,524.27



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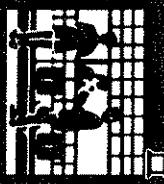
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SEA CARRIERS LIMITED PARTNERSHIP
75 HOLLY HILL LANE
GREENWICH CT 06830

SETTLED SECURITIES TRANSACTIONS

DATE 01/13/03	LONG (Bought or Received)	SHORT (Sold or Delivered)	DESCRIPTION	PRICE/ CODE	DEBIT	CREDIT	LEDGER BALANCE
Account [REDACTED] TRADING ACCOUNT							
Opening Balance 01/13/03			AOL TIME WARNER, INC.				
Closing Balance 01/13/03			P&L		899.00		\$0.00 \$899.00DB
Opening Balance 01/13/03			AMERICAN INT'L GROUP INC COM				\$0.00
Closing Balance 01/13/03			P&L		377.00		\$377.00 \$377.00
Opening Balance 01/13/03			ANALOG DEVICES INC				\$0.00 \$435.00DB
Closing Balance 01/13/03			P&L		435.00		\$435.00DB
Opening Balance 01/13/03			BANK OF AMERICA CORP				\$0.00 \$725.00DB
Closing Balance 01/13/03			P&L		725.00		\$725.00DB
Opening Balance 01/13/03			BANK ONE CORP				\$0.00 \$1,218.00DB
Closing Balance 01/13/03			P&L		1,218.00		\$1,218.00DB
Opening Balance 01/13/03			EXXON MOBIL CORP.				\$0.00 \$803.06DB
Closing Balance 01/13/03			P&L		803.06		\$803.06DB

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SEA CARRIERS LIMITED PARTNERSHIP
15 HOLLY HILL LANE
GREENWICH CT 06830

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SETTLED SECURITIES TRANSACTIONS

DATE	LONG (Bought or Received)	SHORT (Sold or Delivered)	DESCRIPTION	PRICE/ CODE	DEBIT	CREDIT	LEDGER BALANCE
Account █████ TRADING ACCOUNT							
01/31/03							
4-12	Opening Balance		FEDERAL HOME LOAN MTG CORP				\$0.00
	Closing Balance		SR PART PFD				\$2,465.00DB
01/31/03	Opening Balance		FEDERAL NATL MTG ASSN	P&L			\$0.00
	Closing Balance		COM				\$1,421.00DB
01/31/03	Opening Balance		INTERNATIONAL BUSINESS MACHS	P&L			\$0.00
	Closing Balance		COM				\$145.00DB
01/31/03	Opening Balance		KOHL'S CORPORATION	P&L			\$145.00DB
	Closing Balance		COM				\$0.00
01/31/03	Opening Balance		LOWE'S COMPANIES, INC.	P&L			\$0.00
	Closing Balance						\$1,305.00
01/31/03	Opening Balance		MORGAN STANLEY	P&L			\$0.00
	Closing Balance						\$1,740.00DB
Case 1:07-cv-04658-RWS							

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ONLY BE DETERMINED BY ADDING TOGETHER THE VALUES OF ALL RELATED ACCOUNTS, INCLUDING ACCOUNTS WITH NEGATIVE VALUE.



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SETTLED SECURITIES TRANSACTIONS

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Spear, Leeds & Kellogg
120 Broadway
New York, NY 10271-0093
(212)433-7000

SEA CARRIERS LIMITED PARTNERSHIP
15 HOLLY HILL LANE
GREENWICH CT 06830

Filed 08/20/2007

DATE	LONG (Bought or Received)	SHORT (Sold or Delivered)	DESCRIPTION	PRICE/ CODE	DEBIT	CREDIT	LEDGER BALANCE
------	---------------------------------	---------------------------------	-------------	----------------	-------	--------	-------------------

Account █████ TRADING ACCOUNT

01/31/03	Opening Balance	PFIZER INC	PFIZER INC	0.00	0.00	0.00	0.00
	Closing Balance	COM	COM	1,189.00	1,189.00	1,189.00	1,189.00
01/31/03	Opening Balance	VERIZON COMMUNICATIONS	VERIZON COMMUNICATIONS	0.00	0.00	0.00	0.00
	Closing Balance	P&L	P&L	1,044.00	1,044.00	1,044.00	1,044.00
01/31/03	Opening Balance	WAL-MART STORES INC	WAL-MART STORES INC	0.00	0.00	0.00	0.00
	Closing Balance	COM	COM	580.00	580.00	580.00	580.00
	Total Debit and Credit for all Accounts	██████████	██████████	12,084.06	2,755.00	13,610.79	32,415.68



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SEA CARRIERS LIMITED PARTNERSH
120 HOLLY HILL LANE
GREENWICH, CT 06830

Account No.	Taxpayer Id.	Statement Period	Page
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Professional Clearing & Execution Account

Asset Summary		Value 12/31/02	Value 01/31/03
Account Credit Balance		0.00	0.00
Long Stock Value		0.00	0.00
Short Stock Value		0.00	0.00
Long Option Value		0.00	0.00
Short Option Value		0.00	0.00
Corporate Fixed Income		0.00	0.00
U.S. Govt. Securities		0.00	0.00
Municipal Bonds		0.00	0.00
Money Market Funds		0.00	0.00
Other Assets		0.00	0.00
Total Asset Value		0.00	0.00
Account Debit Balance		0.00	0.00
Total Net Worth/Equity		0.00	0.00

Income Summary		This Month	Year-To-Date
Money Market Income		0.00	0.00
Dividend Inc/Exp (Net)		0.00	0.00
Municipal Int/Exp (Net)		0.00	0.00
Corporate Int/Exp (Net)		0.00	0.00
U.S. Govt.In/Exp (Net)		0.00	0.00
Interest/Expense (Net)		0.00	0.00
Other Inc/Exp (Net)		0.00	0.00
Total Income/Expense (Net)		0.00	0.00

Market Indicators		This Month	Begin of Year	% Chg.
S&P 500 Index		855.70	879.82	-2.74%
DJIA		8,053.81	8,341.63	-3.45%
NASDAQ		1,320.91	1,335.51	-1.09%
T-Bill		778.63	777.90	+0.09%

Cash Flow Analysis		This Month	Year-To-Date
Opening Balance		0.00	0.00
Securities Sold		0.00	0.00
Trans/Recvs/Delivs		0.00	0.00
Dividends/Int Income		0.00	0.00
Other Capital Items		0.00	0.00
Securities Purchased		0.00	0.00
Dividends/Int. Expense		0.00	0.00
Other Capital Items		0.00	0.00
P & L Bookings by Cusip		(2.12)	(2.12)
Contribution/ Withdrawals		0.00	0.00
Closing Balance		(2.12)	(2.12)
Net Cash Activity			

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